

NORTHERN TRUST IN SWITZERLAND

Local Focus, Global Reach

A leading Swiss Asset Servicing provider for fund administration, global custody and custodian banking solutions, meeting the unique needs of institutional investors and investment managers.

FINMA REGULATED DEPOTBANK

- Custodian bank services for Swiss collective investment schemes (CISA/KAG and non-regulated funds, such as L-QIFs)
- Depository, custody, investor services and transfer agency

GLOBAL CUSTODY

- Safekeeping
- Trades and settlements
- Global custody associated services
- Cash processing
- Corporate actions
- Income collections
- Proxy voting
- Tax services
- Reporting/dashboards
- Foreign exchange
- Reconciliations

FUND ADMINISTRATION

- Fund launch management
- Portfolio pricing and NAV calculation
- Fund accounting
- Investment compliance monitoring
- Financial/client /regulatory reporting

BANKING & MARKETS

- Execution and trading solutions
- Portfolio and liquidity solutions
- Institutional brokerage
- Performance solutions

PRODUCT COVERAGE

- Traditional funds
- Institutional managed accounts
- Exchange traded funds
- Private Equity
- Fund of hedge funds
- Real estate
- Hedge funds

110



PARTNERS BASED AT OUR BASEL OFFICE

30+



YEARS OF EXPERIENCE IN THE SWISS MARKET

~\$50B



AUC FOR SWISS-DOMICILED CLIENTS (USD)¹

~\$600B



AUA IN SWITZERLAND (USD)²

660+



SWISS COLLECTIVE INVESTMENT PRODUCTS, REGULATED SWISS FUNDS, INVESTMENT FOUNDATIONS AND MANAGED ACCOUNTS

DELIVERING CUSTOMISED SOLUTIONS WITH TANGIBLE VALUE

Professional clients such as asset managers, pension funds, insurance companies, and private banks benefit from our open-architecture offering maximum optionality, interoperability and access to best-in-class technologies, services and solutions. Examples of services provided to such clients include:

- A Swiss pension fund and Swiss insurance company leveraging a global custody platform, providing access to over 100 markets.
- Supporting a leading Swiss asset manager by offering trading services through a single point of entry, with follow the sun processes and 24/6 access to markets worldwide.
- Facilitating USD correspondent banking for a Swiss private bank and complementing their set-up with state of the art brokerage solutions.
- Optimizing the investment process for a Swiss pension fund generating accurate and reliable investment data and customized performance reporting.

NORTHERN TRUST BANKING & MARKETS

Capitalise on advanced technology, transparent trading, quality execution, and smart liquidity solutions across institutional brokerage, transition management, securities finance and foreign exchange. We're committed to investing in our capital markets expertise and technology so you benefit from the latest solutions designed to help you improve investment performance. Find out how we can help you with your Asset Servicing needs:



FOREIGN EXCHANGE

Execute your foreign exchange with a team that provides flexibility, precision and transparency when trading in global markets.



SECURITIES FINANCE

A holistic approach to optimising your portfolio and enhancing alpha generation.



INSTITUTIONAL BROKERAGE

Work with an asset servicing firm that has sophisticated and fully integrated global brokerage solutions.



PORTFOLIO SOLUTIONS

Minimise cost and risk when restructuring investment portfolios with a robust, well-governed transition management plan.

PARTNERSHIP COMMITMENT

Work with a highly collaborative, trusted provider who has the agility to adapt to your evolving needs. Rather than using a one-size-fits-all approach, we listen and work with you to build a tailored solution that helps address any potential gaps or challenges you face across your investment value chain.

ABOUT NORTHERN TRUST IN SWITZERLAND

Northern Trust has over three decades of experience providing an extensive range of innovative asset servicing and investment solutions tailored to meet the unique needs of institutional investors and investment managers in Switzerland.

The Basel office was established in 2017, supported by a local team of specialists bringing over two decades of expertise in delivering comprehensive and customised fund administration services across both traditional and alternative asset classes.

In 2021, Northern Trust expanded its capabilities by becoming a FINMA-regulated Depotbank, offering local custodian, depositary, transfer agency, and ancillary services to enhance its market-leading Swiss fund administration expertise.

Northern Trust Switzerland operates as a licensed branch of Northern Trust Global Services SE, an EU credit institution domiciled in Luxembourg and a wholly owned subsidiary of the Northern Trust Company.

TO LEARN MORE

To find out more about how Northern Trust can support your organisation, please contact your local Northern Trust representatives:



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1. Northern Trust data as at 31 December 2024
2. Northern Trust data as at 31 December 2024

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