

NORTHERN TRUST GLOBAL FUNDS PLC

(the “Company”)

This duly signed Account Opening Form together with full Anti-Money Laundering (AML) Due Diligence Documentation (as per AML Guide which is available on the Funds website - [International Pooled Funds | Northern Trust](#)), Declaration of residency (as per Appendix I), an authorised signatory list and a valid signed FATCA/CRS form (as per Appendix II) are required before an account can be opened.

Once a signed Account Opening Form and full **AML Due Diligence Documentation** is received, the Administrator will send the account number confirmation to the authorised contact(s) upon which the investor can **then** place dealing instructions. See [AML Guide](#) which is available on the Fund’s website - [International Pooled Funds | Northern Trust](#) for the required AML documentation and the subscription/redemption form for subscription instructions. The account number must be specified on all forms to place transactions. Subscription instructions and proceeds must not be forwarded until the account number confirmation is issued to you by the Administrator. Please note it may take up to 5 Business Days to return the proceeds.

PLEASE RETURN TO

Investors should send this duly signed Account Opening Form and supporting documentation (where required) by fax or email to the Administrator’s details as set out below.

Incomplete Account Opening Forms (where compulsory information¹ and AML verification documents have not been provided in advance) will be rejected and any subscription monies received will be returned. If an application is rejected, the Administrator at the cost and risk of the Applicant will, subject to any applicable laws, return application monies or the balance thereof, without interest, expenses or compensation by electronic transfer to the account from which it was paid (less any applicable bank charges where applicable).

Northern Trust International Fund Administration Services (Ireland) Limited

Georges Court, 54-62 Townsend Street, Dublin 2, Ireland. Tel: +353 1 542 2909 | Fax: +353 1 434 5286

All Applicants should sign the necessary declaration on **pages 5, 7 and 10**. Please complete this form in blue or black ink using BLOCK CAPITALS. Any queries can be sent to NTfundservice@NTRS.com.

Completed Account Opening Forms can be sent to NTAMTAInstructions@ntrs.com. (See Appendix III for terms and conditions of service for instructions issued to the Administrator via email.)

KEY INVESTOR INFORMATION DOCUMENT

Please ensure that you have read the Prospectus for the Company (the “Prospectus”) and the PRIIPs Key Information Document (the “KID”) or the Key Investor Information Document (the “KIID”) before completing this Account Opening Form. Defined terms used in this form are those used in the Prospectus and in the definition section of this form. The KIID/KID is located at <https://www.northerntrust.com/united-kingdom/what-we-do/investment-management/pooled-funds>.

¹ Please note compulsory data that is required is name, address, email and tax details

Section 1: Registration Details (this section must be completed)

Full name of Applicant*

Registered address Postcode

Mailing address (if different from above) Postcode

Country of Incorporation

Registration Number

Business Activity

Entity type

Contact person

Daytime contact number

Email **

* Please note that an account cannot be registered in the name of a Trust. In the case of a Trust the registered account holder(s) must be the Trustee(s) as trustee for the Trust with the name of the Trust added under the Designation.

** Please note that an email address is compulsory for the welcome email which will contain the account number confirmation required to place transactions

DEALING METHOD

Please indicate your preferred method of instruction(s) for future subscriptions and redemptions by ticking the relevant box(es) below:

SWIFT Fax Email Telephone Portal Name of portal*

* Letter of Assurance will be required for orders placed via portals. For template letters please see Appendix I of the AML guide.

DISTRIBUTION REQUIREMENTS

Dividends are declared on each Dealing Day in respect of distributing share classes of each fund. Dividends are paid monthly in cash unless the Shareholder elects to receive the dividends in the form of additional shares.

Distributions will be reinvested until we receive all relevant Anti-Money Laundering documentation.

We would like a cash distribution paid. Please note we will use the bank details you have supplied in the Section 2

We would like our distribution reinvested monthly.

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ELECTRONIC REPORTING

Please state the email address(es) / SWIFT codes and tick the box for the information you wish to receive.

Email communications are unencrypted.

Email address / SWIFT Code	Contact Name	Welcome email	Contract Notes	Statements	SWIFT
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please indicate your preferred default reporting currency (if different from the base currency of the sub-fund):

USD GBP EURO

Please note the reporting will default to the base currency of the sub-fund.

Section 2: Bank Details (this section must be completed)

Please accept our written instructions for redemption payment proceeds to be paid directly to our bank account as follows:

USD	GBP	Euro
Bank Name	Bank Name	Bank Name
Bank Address	Bank Address	Bank Address
Account Name	Account Name	Account Name
Account Number	Account Number	Account Number
SWIFT code	SWIFT code	SWIFT code
ABA code	IBAN	IBAN
	Sort Code	Sort Code
Corresponding Bank Details		
Correspondent BIC	Correspondent BIC	Correspondent BIC
Intermediary Account Number	Intermediary Account Number	Intermediary Account Number

If you require different bank details for cash distributions please provide them on a separate letter to the Administrator.

PLEASE NOTE THAT NO THIRD PARTY PAYMENTS WILL BE UNDERTAKEN FOR REDEMPTION PAYMENTS

Notes:

- A copy of a recent bank statement (no more than 6 months old) or a banker's reference, must accompany the application to evidence that the bank account details provided for subscription and redemption payments are for a bank account in the registered account name. Accounts in the name of regulated entities are exempt from this requirement
- A request to change bank account details MUST BE MADE IN WRITING TO THE ADMINISTRATOR AND MUST BE ACCOMPANIED BY a bank statement or banker's reference (no more than 6 months old) to evidence that the bank account details provided are for a bank account registered account name. A call-back from the Administrator will be required before the new bank details will be accepted

Section 3: Investor AML Due Diligence Information (This section must be completed by all applicants)

Anti-Money Laundering (AML) due diligence requirements in accordance with The Criminal Justice (Money Laundering and Terrorist Financing) Acts 2010 – 2021.

Guide to completing due diligence. **Part I & Part II** – To be completed by all applicants.

All applicants to provide AML due diligence documentation outlined in the AML Guide per investor type (which is available on the Funds website - [International Pooled Funds | Northern Trust](#)) in order to establish the identity of an investor and verify same to the extent warranted by risk. **AML documents must be provided in advance before an account can be opened.**

DUE DILIGENCE INFORMATION - PURPOSE OF THE INVESTMENT

Part I

Institutional investors/legal persons investing **in their own name for their own benefit** must tick Part I (A). Institutional investors and legal entities investing as part of their normal economic activity of investing **in their own name for the benefit of one or more third parties** must tick Part I (B). This information is required to meet our AML obligations under the current AML legislation in Ireland and will be used solely for those purposes.

A. Institutional investor/ legal entity investing **in their own name for their own benefit** as part of managing their cash reserves generated from their normal economic activity

Yes No

B. Institutional investor/ legal entity investing as part of their normal economic activity of investing **in their own name for the benefit of one or more third parties**. If no, see Part 1 (A) above.

Yes No

DUE DILIGENCE DOCUMENTATION- INVESTOR TYPE

Part II

Please tick investor type below (please note, investor type indicates the documents / confirmations required to open account as per AML Guide available on the Funds website - [International Pooled Funds | Northern Trust](#)):

- | | |
|--|--|
| <input type="checkbox"/> Private/ Unlisted Company | <input type="checkbox"/> Charities |
| <input type="checkbox"/> Partnership | <input type="checkbox"/> Trusts/ Foundations |
| <input type="checkbox"/> Listed Company | <input type="checkbox"/> Collective Investment Scheme/Fund |
| <input type="checkbox"/> Subsidiary of a Listed Company | <input type="checkbox"/> School, college or university |
| <input type="checkbox"/> Regulated Credit or Financial Institution | <input type="checkbox"/> Pension Schemes |
| <input type="checkbox"/> Nominee Company | <input type="checkbox"/> Trade Unions |
| <input type="checkbox"/> Government Body or Public Authority | <input type="checkbox"/> Church |
| <input type="checkbox"/> Individual | <input type="checkbox"/> Other- Please specify: |

Occupation/Business Activity:

If you, or any beneficial owners, are a Politically Exposed Person.

Yes No

A Politically Exposed Person ('PEP') means an individual (or family member or close associate of such individual) who is, or has, at any time in the preceding 12 months, been entrusted with a prominent public function (e.g. this can include but not limited to: head of state/ government, government / deputy minister, member of parliament, senior official of a political party or member of the supreme court).

If the application form is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature along with due diligence in line with legal type.

DUE DILIGENCE DOCUMENTATION- LEVERAGE ACROSS INVESTMENTS

I hereby authorise the Administrator to leverage the due diligence documentation provided for the purposes of compliance with applicable regulatory requirements across all investments which I currently hold/ may hold in the future across all funds which are administered by the Administrator.

Yes No

Section 4: Investor Tax Residency (this section must be completed)

Part A must be completed by all applicants, regardless of the capacity in which you are acting. Additionally, please complete Part B if you are applying on your own behalf, Part C if you are acting as an intermediary.

PART A - DECLARATION OF CAPACITY OF APPLICANT (MUST BE COMPLETED BY ALL)

Tick (i) or (ii) below as appropriate.

- (i) **Declaration on own behalf (PART B must also be completed)**
I/We declare that I am/we are applying for the Shares on my own/our own behalf/on behalf of a company and that I am/we are/ the company is entitled to the Shares in respect of which this declaration is made and that:
- Should I/we/the company become Irish resident I/we/the company will so inform the Company, in writing accordingly.
 - The monies being invested belong to the registered shareholder and no monies are being invested on behalf of underlying beneficiaries.
- (ii) **Declaration as intermediary (PART C must also be completed)**
I/We declare that I am/we are applying for Shares on behalf of other persons (e.g. not on own behalf) who will be beneficially entitled to the Shares. I/We also declare that unless I/we specifically notify you to the contrary at the time of application, all applications for Shares made by me/us from the date of this application will be made on behalf of such person; and
I/We will inform you in writing if I/we become aware that any person on whose behalf I/we hold Shares becomes Irish resident.

Intermediaries are required to complete a letter of assurance. For template letters please see Appendix I of the AML guide

PART B - DECLARATION OF RESIDENCE INSIDE OR OUTSIDE IRELAND (OWN BEHALF ONLY)

Please tick (i), (ii) or (iii) as appropriate

- (i) **Irish residents**
I am/we are an Irish resident who will cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. Irish resident companies entitled to the lower rate of Investment Undertaking Tax are required to provide a statement on its letterhead confirming that the company is within the charge of corporation tax. **OR**
- (ii) **Exempt Irish residents**
I am/we are an Irish resident who will not cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. **If this box is ticked, please also complete the declaration of residence within the Republic of Ireland in Appendix I below. OR**
- (iii) **Non-Irish residents**
I am/we are not currently resident or ordinarily resident who will not cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners.

PART C - DECLARATION OF RESIDENCE FOR THE BENEFICIAL OWNER INSIDE OR OUTSIDE IRELAND (INTERMEDIARIES ONLY)

Please tick (i), (ii) or (iii) as appropriate

- (i) **Irish residents**
As an Intermediary, I/we declare that the person who will be beneficially entitled to the units is an Irish resident who will cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. Irish resident companies entitled to the lower rate of Investment Undertaking Tax are required to provide a statement on its letterhead confirming that the company is within the charge of corporation tax. **OR**
- (ii) **Exempt Irish residents**
As an Intermediary, I/we declare that the person who will be beneficially entitled to the shares/units is an Irish resident who will not cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. **If this box is ticked, please also complete the declaration of residence within the Republic of Ireland in Appendix I below. OR**
- (iii) **Non-Irish residents**
As an Intermediary, I/we declare that the person who will be beneficially entitled to the shares/units is not currently resident or ordinarily resident who will not cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners.

Authorised signatory:

Authorised signatory:

Date:

IMPORTANT NOTES

1. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
2. To be valid, the application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.
3. If the application form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.

Section 5 : Beneficial Owner(s) Declaration (this section must be completed)

To comply with regulatory requirements, investors are required to fill out the details in respect of Ultimate Beneficial Ownership / Senior Managing official(s) (i.e. Under 4MLD, the Fund requires confirmation that no one natural person directly or indirectly holds more than 25% of each entity investing into the Fund – this can be a simple confirmation but if there is any natural person who directly or indirectly holds more than 25% of an investing entity, the details need to be completed below. In the event where no natural person falls under the above scenario, the senior managing official(s) of the subscribing entity need be recorded as the Beneficial Owner.

Please tick one of the below boxes and complete the relevant section:

We confirm there is a natural person(s) who is a beneficial owner of the investor
(Please complete section 1 of this declaration).

We confirm there is no natural person(s) who is a beneficial owner of the investor
(Please complete section 2 of this declaration).

Section 1: Natural person(s) who is a beneficial owner of the investor

Beneficial Owner 1 (please complete in block capitals):

Full Name:

Identification Number:

Occupation:

Date of Birth (DD-MM-YYYY):

Place of Birth:

Nationality:

Shareholding %:

Registered Address (PO Box will not be accepted):

City, Town, State, Province or County

Postal/ZIP Code:

Country:

Beneficial Owner 2 (please complete in block capitals):

Full Name:

Identification Number:

Occupation:

Date of Birth (DD-MM-YYYY):

Place of Birth:

Nationality:

Shareholding %:

Registered Address (PO Box will not be accepted):

City, Town, State, Province or County

Postal/ZIP Code:

Country:

If needed, please add additional beneficial owners on a separate sheet

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Section 2: No natural person(s) who is a beneficial owner of the investor.

In the event where no natural person falls under the above scenario, the senior managing official(s) of the subscribing Nominee entity will be recorded as the Beneficial Owner (not any underlying customer of the entity / designation).

In completing the below, we confirm we have read and understood the above definition and we have provided below details of a senior manager(s) who should be recorded as the Beneficial Owner(s). Senior managing official details (please complete in block capitals):

Full Name: Title:

Date of Birth (DD-MM-YYYY): Place of Birth: Nationality:

Registered Address (PO Box will not be accepted):

City, Town, State, Province or County: Postal/ZIP Code: Country:

Full Name: Title:

Date of Birth (DD-MM-YYYY): Place of Birth: Nationality:

Registered Address (PO Box will not be accepted):

City, Town, State, Province or County: Postal/ZIP Code: Country:

Full Name: Title:

Date of Birth (DD-MM-YYYY): Place of Birth: Nationality:

Registered Address (PO Box will not be accepted):

City, Town, State, Province or County: Postal/ZIP Code: Country:

If needed, please add additional senior managing officials on a separate sheet

Declaration - I/We declare that the information contained in this form and the attached documentation, if any, is true and accurate to the best of my/our knowledge and belief.

Signature 1 Print Name Date

Signature 1 Print Name Date

Representations and Warranties

1. We confirm and declare that the KIID/KID has been provided to us in good time prior to making this application for Shares and that we have read and reviewed the KIID/KID. We hereby acknowledge and agree that the updated KIID/KID for each share class is available at the Investment Manager's website at www.northerntrust.com/pooledfunds and that we will read and review the most up-to-date version of the relevant KIID/KID prior to making any subsequent applications for Shares in the Company. We are aware that copies of the Prospectus and Fund Supplement, latest annual and semi-annual reports, KIID/KID and other information may be obtained on the website or from the Fund's Administrator at George's Court, 54-62 Townsend Street, Dublin 2, Ireland. We give full consent to receiving this information by means of electronic communication.
2. We hereby acknowledge that an application for initial subscription will not be processed by the Company until verification of the applicant's identity has been completed and all Account Opening Documents have been received by the Administrator. We acknowledge that due to anti-money laundering requirements operating within their respective jurisdictions the Investment Manager and the Administrator (as the case may be) may require further identification of the applicant(s) at any time before the application can be processed. We undertake to proactively monitor and promptly respond to all communications issued by the Administrator and/or the Manager or any agent on behalf of the Company.
3. We further acknowledge that if we fail or delay to provide all of the Account Opening Documents and we have not complete all applicant verification checks in advance, our initial subscription application will be rejected by the Administrator at the applicant's cost and risk and any subscription monies or the balance thereof, received by the Administrator will be returned to the applicant without interest, expenses or compensation by electronic transfer to the account from which it was paid (less any applicable bank charges where applicable).
4. We acknowledge as part of this application that we have been offered the Prospectus and Fund Supplement and where applicable the most recent annual or half-yearly reports and accounts for the Fund and furthermore hereby confirm and declare that this application is made on the terms thereof and subject to the provisions of the Prospectus and the Memorandum & Articles of Association, and acknowledge that we are bound by the terms therein, including without limitation, the provisions under the heading Dividend Policy contained in the Supplement which may provide for the reinvestment of all dividends declared. We agree that subscription for Shares will be governed and construed in accordance with Irish law and we confirm that by subscribing for Shares, we are not relying on any information or representation other than such as may be contained in the Prospectus, the relevant Fund Supplements and the most recent annual or semi-annual report (if available) thereto.
5. We certify that we are eligible to invest in the relevant Fund of the Company and we are not acquiring Shares for or on behalf of any person or entity which is not eligible to invest in the relevant Fund of the Company nor do we intend transferring any Shares which we may purchase to any person or entity which is not eligible to invest in the Fund of the Company. We confirm that we are aware of the risks involved in the proposed investment and of the fact that inherent in such investment is the potential to lose the entire sum invested.
6. We agree to notify the Fund, the Company or the Administrator immediately if we become aware that any of the representations, declarations or warranties given by us in this Account Opening Form or subscriptions and/or redemption application form are no longer accurate and complete in all respects and agree immediately to take such action as the Fund may direct, including where appropriate, redemption of our entire holding.
7. We have such knowledge and experience in business and financial matters or have obtained advice from a professional adviser such that we are capable of evaluating the merits, and the risks, of an investment by us in the Fund. We have consulted to the extent we deemed appropriate with our own advisors as to the financial, tax, legal and related matters concerning an investment in the Fund and on that basis we believe that an investment in the Fund is suitable and appropriate for us.
8. We understand that the tax disclosure set forth in the Prospectus is of a general nature and may not cover the jurisdiction in which we are subject to taxation and that the tax consequences of our purchase of Shares depend on our individual circumstances.
9. We acknowledge the right of the relevant Fund of the Company at any time to require the mandatory redemption of Shares in the circumstances provided for in the Prospectus.
10. We authorize and instruct the Administrator and the Company each to accept and execute subscription and redemption instructions in respect of the Shares to which the application relates, or which may in future be acquired by us, given by us in written form, by electronic means, by telephone or by fax (Trade Instructions). The Administrator and the Company are also authorized and instructed to accept and execute any other instruction including switch instructions in respect of Shares to which the application relates, or which may in future be acquired by us, given by us in written form, by electronic means or by facsimile (Non-Trade Instructions).
11. Except insofar as the same may result from their negligence, willful default or fraud, we hereby agree to indemnify and hold harmless the Fund, on its own behalf and on behalf of its agents, the Manager, the Company, the Directors, the Depository, the Administrator, the Investment Manager and sub-investment manager (if any), Distributors (and each of these entities' employees or delegates) and the other Shareholders and their respective directors, officers and employees (collectively, "Indemnified Parties") for and against any liability, loss, cost, expense (including without limitation, legal counsel and professional fees, taxes and other costs and expenses incurred in connection with the defence of any claim, action or proceedings) (together, "Losses") which may result directly, or indirectly, from:
 - a. any misrepresentation or breach of any representation or warranty, condition, covenant or agreement set out in the Account Opening Documents or any document delivered by us to the Fund; or
 - b. a failure by an Indemnified Party to process the application where further information, including but not limited to information required to meet anti-money laundering requirements, is requested and such information is not provided by us, or is provided in incomplete form; or
 - c. the electronic delivery of the Reports.

None of the Indemnified Parties will be responsible or liable for the authenticity of instructions received from us or any authorised person and they may rely upon any instruction, including but not limited to Trade Instructions and Non Trade Instructions, from any such person representing himself to be a duly authorised person reasonably believed to be genuine.

We also agree to indemnify and hold harmless the Indemnified Parties from and against any Losses, which may result from our holdings of shares in the Fund which bring the Fund into conflict with any requirement of any local regulatory authority or the Fund incurring any liability to taxation or breaching any law or regulation of any local regulatory or taxation authority.

12. We also warrant and declare that the monies being invested pursuant to the application do not represent directly or indirectly the proceeds of any criminal activity and that the investment is not designed to conceal such proceeds so as to avoid prosecution for an offence or otherwise. In line with anti-money laundering requirements operating in various jurisdictions, all investors are required to be identified. For this purpose, verification of the applicant's identity must have completed and all relevant account opening documentation requested of the applicant by the Administrator must have been received by the Administrator before the application will be processed by the Administrator. Furthermore, no redemption payment may be made by the Administrator until the Administrator is satisfied that all of the necessary anti-money laundering checks in respect of the relevant applicant have been completed.

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13. We confirm that we have read in detail the Data Protection Notice available at <https://www.northerntrust.com/uk/privacy/global-privacy-standard> (or otherwise available upon request). We acknowledge the Data Protection Notice provides an outline of our data protection rights and the Fund's data protection obligations as they relate to our investment in the Fund. We agree to ensure that the Data Protection Notice is made available and brought to the attention of any individual connected with us whose personal information is provided as a consequence of an investment in the Fund.
14. We agree and acknowledge that, in order to be valid, this Account Opening Form must be signed by each applicant and, if not fully completed to the satisfaction of the Fund, the Investment Manager or the Administrator, the application may be rejected. In the case of applications by partnerships, proprietorships or other unincorporated associations or firms, the applications should be signed by all the partners/proprietors. In the case of applications by corporations, applications should be executed under seal or signed by a duly authorised person(s) who should indicate their representative capacity. In the case of trusts, applications should be made in the individual names of the trustees and should be accompanied by duly certified documentation. If this application form is signed under power of attorney, such power of attorney or a duly certified copy thereof must accompany this application.
15. We warrant that we are duly authorised to sign this Account Opening Form and to subscribe for Shares in the relevant sub-fund of the Company. We warrant that we are not in breach of any laws or regulations of any competent jurisdiction.
16. We undertake to abide by the restrictions on transfers of shares set out in the Articles of Association and in the Prospectus. In addition, we undertake to complete a separate transfer form in respect of such transfer.
17. The Administrator may deliver electronic information to Shareholders directly or via the web-site. Such reports may include but may not be limited to contract notes, holdings confirmations, valuation statements and other reports which may be issued by the Administrator from time to time ("Reports").
18. We hereby warrant and represent that investment in the Fund by us is consistent with our statement of investment principles, restrictions and guidelines and, if we are a UK pensions scheme, its trustee(s) or a representative, that, in complying with such principles, restrictions and guidelines, the Investment Manager are each complying with section 36 of the UK Pensions Act 1995.
19. We declare that we are not a U.S. Person as defined in the Prospectus, and that we are not applying as a nominee of a U.S. person and we are not acting on behalf of nor do we intend to transfer any Shares to any U.S. Person(s). If you are a U.S. Person please contact the Administrator for supplemental forms. We confirm that we have the authority to make this investment whether the investment is our own or is made on behalf of another person or institution:
 - a. We declare that we are not a Benefit Plan Investor as defined in Section 3(42) of the ERISA
 - b. We declare that we are not a U.S. Taxpayer. For these purposes a U.S. Taxpayer includes the following:-
 - c. a U.S. citizen or resident alien of the United States (as defined for United States federal income tax purposes);
 - d. any entity treated as a partnership or corporation for U.S. tax purposes that is created or organised in, or under the laws of, the United States or any state thereof (including the District of Columbia);
 - e. any other partnership that is treated as a U.S. Taxpayer under U.S. Treasury Department regulations;
 - f. any estate, the income of which is subject to U.S. income taxation regardless of source; and
 - g. any trust over whose administration a court within the United States has primary supervision and all substantial decisions of which are under the control of one or more U.S. fiduciaries. Persons who have lost their U.S. citizenship and who live outside the United States may nonetheless, in some circumstances, be treated as
 - h. U.S. Taxpayers.
20. We shall provide the Company, the relevant Fund, the Manager and the Administrator with any additional information which it may reasonably request in connection with tax and/or FATCA (as defined in the Prospectus) regulations/reporting requirements and/or the OECD Common Reporting Standard ("CRS") or other similar requirements in order to substantiate any representations made by us or otherwise and we authorise the Fund or its agents to disclose such information relating to this application to such persons as they consider appropriate.
21. We shall supply the Company and the relevant Fund with executed copies of those documents attached, or referred to, in Annex 1¹ (for US and Non-US Taxpayers).
22. We agree to provide to the Company, the relevant Fund, the Manager and the Administrator at such times as each of them may request such declarations, certificates or documents as each of them may reasonably require (the "Tax Information") in connection with this investment (including for the purposes of tax and/or FATCA regulations/reporting requirements and/or the CRS). Should any information furnished to any of them become inaccurate or incomplete in any way, we hereby agree to notify the Company, the relevant Fund, the Manager or the Administrator immediately of any such change and further agree to request the redemption of Shares in respect of which such confirmations have become incomplete or inaccurate where requested to do so by the Fund.
23. In addition to any information required to the Tax Information, we agree to promptly provide, and periodically update, at any times requested by the Company, Manager or the Administrator with any information (or verification thereof) the Manager or the Administrator deems necessary to comply with any requirement imposed on the Fund including pursuant to tax and/or FATCA reporting requirements (including any imposed by any intergovernmental agreement ("IGA") or any legislation in relation to any IGA and/or the CRS). This may include such information as is required to evidence the Fund's (or any account holder in the Fund's) status for such purposes, but to include (not limited to) whether the Fund is a foreign financial institution (for FATCA purposes), or whether any of its account holders are U.S. Persons for these purposes.
24. In addition to the Tax Information, we agree to promptly provide, at any times requested by the Company, the Manager or the Administrator, any information (or verification thereof) the Company, the Manager or the Administrator deems necessary for the Fund to comply with the terms of the IGA and any Irish laws, regulations or other guidance implementing the IGA, and any information required to comply with the terms of that agreement on an annual or more frequent basis. We agree to waive any provision of foreign law that would, absent such a waiver, prevent compliance with such requests and acknowledges that, if it fails to provide such waiver, it may be required by the Manager to withdraw from the Fund if necessary to comply with FATCA, the IGA and the CRS.
25. We represent and warrant the completeness and accuracy of any information (as at the date of submission) and authorise the Company, the Manager and the Administrator to act upon such information in good faith, including, but not limited to, disclosing or submitting such information to the Irish tax authorities. We represent and warrant that we have any requisite consent to disclose any information to you. The Company shall have no obligation to carry out any investigation with respect to the truth, accuracy or completeness of any information provided by us and we will, on demand, hold the Company and the relevant Fund harmless from any liability resulting from our failure to provide complete and accurate information.
26. We hereby acknowledge that if we fail to provide the any information on a timely basis, we may be subject to 30% U.S. withholding tax on the investor's share of "withholdable payments" (as defined for purposes of FATCA) received by the Fund.
27. We hereby acknowledge that if we fail to provide any information and such failure results in the Fund being unable to comply with the IGA and/or the CRS, the Fund may exercise its right to completely redeem an applicant (at any time upon any or no notice). We further acknowledge and agree to indemnify the Fund and its other investors for any losses resulting from our failure to meet its obligations under this Section, including any U.S. withholding tax imposed on the Fund.

¹Annex 1 - Forms W-8BEN, W-8BEN-E, W-8IMY, W-8ECI, W-8EXP, or W-9 as applicable; FATCA and CRS certifications as applicable; other documents

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28. This Account Opening Form (the "Terms") are to be governed by and construed in accordance with Irish law. We irrevocably submit(s) to the exclusive jurisdiction of the courts of the Republic of Ireland to settle any dispute which may arise under or in connection with this application form or the legal relationships established by these Terms.
29. For so long as we hold Shares in the Company, we agree to provide all necessary details, information, documents and co-operation requested by the Company or its duly authorised delegates in order to determine beneficial ownership as defined in the Beneficial Ownership Regulations.
30. If at any time during the period we hold any Shares in the Company we become aware that we are a "beneficial owner" of the Company as that term is defined in the Beneficial Ownership Regulations we acknowledge and agree to the following:
- (a) (i) to provide such information as may be required by the Company and (ii) if the Company or its duly appointed delegate has not contacted us within a month of our acquiring the status of a Beneficial Owner, provide the information required in paragraph 2 of the "Account Owner" section of this form within the following month, as well as (to the best of our knowledge) the date on which we became a Beneficial Owner (as required under Regulation 11 of the Beneficial Ownership Regulations);
- (b) where we become aware that (a) a Relevant Change (as defined in paragraph 3 of the "Account Owner" section) has occurred and (b) the Company or its duly appointed delegate has not contacted us for information on the Relevant Change within a month of its occurrence, we agree to notify the Company or its duly appointed delegate of the Relevant Change, the date of its occurrence and any other necessary information within either (i) two months of the Relevant Change or (ii) one month of becoming aware of facts from which we could reasonably conclude that a Relevant Change has occurred (whichever is later)(as required under Regulation 12 of the Beneficial Ownership Regulations).
31. We acknowledge that under Regulations 11 and 12 of the Beneficial Ownership Regulations (outlined above) it is a criminal offence under Irish law (punishable by a fine of up to €5,000) if, being aware of our status as a Beneficial Owner, we (i) fail to take the steps above where the Company has not contacted us for information within the relevant timeframes, or (ii) in purporting to do so, make a statement that is false in a material particular, knowing it to be false or being reckless as to whether it is so false.

Signature

I/We declare that the information contained in this form and any attached documentation is true and accurate to the best of my/our knowledge and belief.

1. Authorised signatory	Capacity of authorised signatory (if applicable)
<input type="text"/>	
Name:	Date:
2. Authorised signatory	Capacity of authorised signatory (if applicable)
<input type="text"/>	
Name:	Date:
3. Authorised signatory	Capacity of authorised signatory (if applicable)
<input type="text"/>	
Name:	Date:
4. Authorised signatory	Capacity of authorised signatory (if applicable)
<input type="text"/>	
Name:	Date:

IMPORTANT:

RETAIL INVESTORS - IN THE CASE OF ALL JOINT HOLDERS – ALL HOLDERS MUST COMPLETE THIS DECLARATION & PROVIDE A VALID PROOF OF IDENTIFICATION

INSTITUTIONAL INVESTORS - IN THE CASE OF CORPORATE INVESTORS – A VALID SIGNATURE LIST MUST BE PROVIDED AT ACCOUNT OPENING

NORTHERN TRUST GLOBAL FUNDS PLC

APPENDIX I - Exempt Irish Residents [only to be completed if Section 4 Part B(ii) or Part C(ii) selected]**Declaration of residence within the Republic of Ireland****Declaration referred to in Section 739D(6) Taxes Consolidation Act, 1997**

- We declare that the information contained in this declaration is true and correct.
- We also declare that we are applying for the Units on behalf of the applicant named below who are entitled to the Units in respect of which this declaration is made and is a person referred to in Section 739D (6) of the Taxes Consolidation Act, 1997, being a person who is: (please tick as appropriate).

<input type="checkbox"/>	A pension scheme ^A ;	<input type="checkbox"/>	A charity being a person referred to in section 739D(6)(f) (i) TCA 1997 ^B ;
<input type="checkbox"/>	A company carrying on life business within the meaning of section 706 TCA 1997 ^A ; An investment undertaking ^A ;	<input type="checkbox"/>	A qualifying management company ^{A,C} ;
<input type="checkbox"/>	An Investment Undertaking;	<input type="checkbox"/>	A PRSA administrator;
<input type="checkbox"/>	An investment limited partnership;	<input type="checkbox"/>	Entitled to exemption from income tax and capital gains tax by virtue of sections 784A(2), and 787I of the TCA 1997, (see further requirements for Qualifying Fund Manager below);
<input type="checkbox"/>	A special investment scheme ^A ;	<input type="checkbox"/>	A credit union to which Section 2 of the Credit Union Act, 1997 applies ^A .
<input type="checkbox"/>	A unit trust to which section 731(5) (a) TCA 1997 applies ^A ;		

^A Additional requirement where the declaration is completed on behalf of a pension scheme, a company carrying on life business within the meaning of section 706 TCA 1997, an investment undertaking, a special investment scheme, a unit trust to which section 731(5) (a) TCA applies, a qualifying management company or a credit union to which Section 2 of the Credit Union Act 1997 applies

- We undertake that, in the event that the Units cease to be assets of the **pension scheme/company carrying on life business within the meaning of section 706 TCA 1997/investment undertaking/special investment scheme/unit trust to which section 731(5) (a) TCA applies/qualifying management company/credit union to which Section 2 of the Credit Union Act 1997 applies*, including a case where the Units are transferred to another such entity, we will, by written notice, bring this fact to the attention of the investment undertaking accordingly. (**Delete as appropriate*).

^B Additional requirements where the declaration is completed on behalf of a Charity

- We also declare that at the time of making this declaration, the Units in respect of which this declaration is made are held for charitable purposes only and;
- Form part of the assets of a body of persons or trust related by the Revenue Commissioners as a body or trust established for charitable purposes only, or are, according to the rules or regulations established by statute, charter, decree, deed of trust or will, held for charitable purposes only and are so treated by the Revenue Commissioners.
- We undertake that, in the event that the person referred to in paragraph (7) (d) of Schedule 2B TCA 1997 ceases to be a person referred to in Section 739D(6)(f) TCA 1997, we will by written notice, bring this fact to the attention of the investment undertaking accordingly.

^C Additional requirements where the declaration is completed by a Qualifying Fund Manager

We also declare that at the time this declaration is made, the Units in respect of which this declaration is made;

- Are assets of an **approved retirement fund/an approved minimum retirement fund*, and
- Are managed by the declarant for the individual named below who is beneficially entitled to the Units.

We undertake that, if the Units cease to be assets of the **approved retirement fund/the approved minimum retirement fund*, including a case where the Units are transferred to another such fund, we will, by written notice, bring this fact to the attention of the investment undertaking accordingly. (**Delete as appropriate*)

Additional requirements where the declaration is completed by an Intermediary

We declare that we are applying for Units on behalf of persons who:

- to the best of our knowledge and belief, have beneficial entitlement to each of the Units in respect of which this declaration is made; and
- is a person referred to in section 739D(6) TCA 1997

We also declare that:

- unless we specifically notify you to the contrary at the time of application, all applications for Units made by us* from the date of this application will be made on behalf of persons referred to in section 739D(6) TCA 1997; and
- We will inform you in writing if we become aware that any person ceases to be a person referred to in section 739D(6) TCA 1997.

(* Delete as appropriate)

Name of Applicant:	² Irish Tax Reference Number of Applicant:
³ Authorised Signatory:	(Declarant) (Mr/Ms/etc.)
Capacity in which declaration is made:	Date:

IMPORTANT NOTES

¹ This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.

² Tax reference number in relation to a person, has the meaning assigned to it by Section 885 TCA 1997 in relation to a "specified person" within the meaning of that section. In the case of a charity, quote the Charity Exemption Number (CHY) as issued by Revenue. In the case of a qualifying fund manager, quote the tax reference number of the beneficial owner of the Units.

³ In the case of (i) an exempt pension scheme, the administrator must sign the declaration (ii) a retirement annuity contract to which Section 784 or 785 applies, the person carrying on the business of granting annuities must sign the declaration (iii) a trust scheme, the trustees must sign the declaration. In the case of a charity, the declaration must be signed by the trustees or other authorised officer of a body of persons or trust established for charitable purposes only within the meaning of Sections 207 and 208 TCA 1997. In the case of a company, the declaration must be signed by the company secretary or other authorised officer. In the case of a unit trust it must be signed by the trustees. In any other case, it must be signed by an authorised officer of the entity concerned or a person who holds a power of attorney from the entity. If the latter, a copy of the power of attorney should be furnished in support of this declaration.

NORTHERN TRUST GLOBAL FUNDS PLC

APPENDIX II**Self-Certification for FATCA and CRS**

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund with relevant tax authorities. This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link to the OECD CRS Information Portal at: <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

Instructions for Completion

This form must be completed by all applicants.

Account holders that are **corporate entities must complete Part A** entitled "Entity Self Certification for FATCA and CRS".

Account holders that are **Individuals or Controlling Persons must complete Part B** titled "Individual (including Controlling Persons) Self-Certification for FATCA and CRS"

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please ensure that we are advised of these changes promptly.

(Mandatory fields are marked with an *)

PART A: ENTITY SELF-CERTIFICATION FOR FATCA AND CRS**SECTION 1: INVESTOR IDENTIFICATION**

Investor Name* (the "Entity")	Country of Incorporation or Organisation:
Registered Address*	
Number	Street
City, town, State, Province or County	
Postal/ZIP Code	Country
Mailing address (if different from above):	
Number	Street
City, town, State, Province or County	
Postal/ZIP Code	Country

SECTION 2: FATCA DECLARATION SPECIFIED U.S. PERSON

Please tick either (a), (b) or (c) below and complete as appropriate.

(a) The Entity is a Specified U.S. Person and the Entity's U.S. Federal Taxpayer Identifying number (U.S. TIN) is as follows:

U.S. TIN

(b) The Entity is **not** a Specified U.S. Person (please also complete Sections 3, 4 and 5)

(c) The Entity is a US person but **not** a Specified U.S. Person (please also complete Sections 3, 4 and 5)

SECTION 3: ENTITY'S FATCA CLASSIFICATION*

The information provided in this section is for FATCA, please note your classification may differ from your CRS classification in Section 5:

1.1 Financial Institutions under FATCA

If the Entity is a Financial Institution, please tick one of the below categories and provide the Entity's GIIN at 1.2

I. Irish Financial Institution or a Partner Jurisdiction Financial Institution

II. Registered Deemed Compliant Foreign Financial Institution

III. Participating Foreign Financial Institution

1.2 Please provide the Entity's Global Intermediary Identification number (GIIN)**1.3 If the Entity is a Financial Institution but unable to provide a GIIN, please tick one of the below reasons:**

I. The Entity has not yet obtained a GIIN but is sponsored by another entity which does have a GIIN

Please provide the sponsor's name and sponsor's GIIN:

Sponsor's Name:

Sponsor's GIIN:

II. Exempt Beneficial Owner

III. Certified Deemed Compliant Foreign Financial Institution
(including a deemed compliant Financial Institution under Annex II of the Agreement)

IV. Non-Participating Foreign Financial Institution

V. Excepted Foreign Financial Institution

1.4 Non-Financial Institutions under FATCA:

If the Entity is not a Financial Institution, please tick one of the below categories

I. Active Non-Financial Foreign Entity

II. Passive Non-Financial Foreign Entity (If this box is ticked, please include self-certification forms for each of your Controlling Persons who exceed the threshold of a 25% ownership)

III. Excepted Non-Financial Foreign Entity

SECTION 4: CRS DECLARATION OF TAX RESIDENCY*

Please note that you may choose more than one country

Please indicate the Entity's country of tax residence for CRS purposes, (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TIN")).

NOTE: Provision of a TIN is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.

Country of Tax Residence	Tax ID Number
Country of Tax Residence	Tax ID Number
Country of Tax Residence	Tax ID Number

SECTION 5: ENTITY'S CRS CLASSIFICATION*

The information provided in this section is for CRS. Please note an Entity's CRS classification may differ from its FATCA classification in Section 3:

1.1 Financial Institutions under CRS

If the Entity is a Financial Institution, please tick one of the below categories

- I. Financial Institution under CRS (other than (II) below)
- II. An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution (If this box is ticked, please indicate the name of any Controlling Person(s) of the Entity and complete a separate individual self-certification forms for each of your Controlling Persons **)

1.2 Non Financial Institutions under CRS

If the Entity is a Non Financial Institution, please tick one of the below categories

- I. Active Non-Financial Entity – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation
- II. Active Non-Financial Entity – a Government Entity or Central Bank
- III. Active Non-Financial Entity – an International Organisation
- IV. Active Non-Financial Entity – other than (I)-(III) (for example a start-up NFE or a non-profit NFE)
- V. Passive Non-Financial Entity (If this box is ticked, please complete a separate Individual Self-Certification Form for each of your Controlling Person(s))

****Controlling Person's:**

NB: Please note that each Controlling Person must complete a Separate Individual Self-Certification form. If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the Entity.

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard: <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

SECTION 6: DECLARATIONS AND UNDERTAKINGS

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We undertake to advise the recipient promptly and provide an updated Self-Certification where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature(s)*:	Capacity in which declaration is made*:
<div style="border: 1px solid black; height: 40px; width: 100%;"></div>	
Print Name(s)*:	Date: (dd/mm/yyyy)*:

PART B: INDIVIDUAL (INCLUDING CONTROLLING PERSONS) SELF-CERTIFICATION FOR FATCA AND CRS

Please note that where there are joint or multiple account holders each investor is required to complete a separate Self-Certification form. Sections 1, 2, 3 and 5 must be completed by all investors.

Section 4 should only be completed by any individual who is a controlling person of an entity investor which is a passive non-financial entity. For further guidance see:

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

SECTION 1: INVESTOR IDENTIFICATION

Name*	Investor Name:
Current registered Address*	
Number	Street
City, town, State, Province or County	
Postal/ZIP Code	Country
Mailing address (if different from above):	
Number	Street
City, town, State, Province or County	
Postal/ZIP Code	Country

ACCOUNT OPENING FORM

Birth details*:

Town or City of Birth*

Country of Birth*

Date of Birth*

SECTION 2: FATCA DECLARATION OF U.S. CITIZENSHIP OR U.S. RESIDENCE FOR TAX PURPOSES*

Please tick either (a) or (b) and complete as appropriate.

(a) I confirm that [**I am**]/[the investor is] a U.S. citizen and/or resident in the U.S. for tax purposes and [my]/[its] U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

or

(b) I confirm that [**I am not**]/[the investor is not] a U.S. citizen or resident in the U.S. for tax purposes.

SECTION 3: CRS DECLARATION OF TAX RESIDENCY*

Please note that you may choose more than one country*

Please indicate your/ the investor's country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers ("TIN"). Please see the CRS Portal for more information on Tax Residency.

Country of Tax Residency

Tax ID Number

Country of Tax Residency

Tax ID Number

Country of Tax Residency

Tax ID Number

NOTE: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

SECTION 4: TYPE OF CONTROLLING PERSON

Only to be completed by any individual who is a controlling person of an entity investor which is a passive non-financial entity or an Investment entity located in a non-participating jurisdiction and managed by another financial institution
 For joint or multiple Controlling Persons please complete a separate Self-Certification form for each Controlling Person

<p>Please confirm what type of controlling person applicable under CRS that applies to you/the investor by ticking the appropriate box.</p> <p><input type="checkbox"/> Controlling Person of a legal person – control by ownership</p> <p><input type="checkbox"/> Controlling Person of a legal person – control by other means</p> <p><input type="checkbox"/> Controlling Person of a legal person – senior managing official</p> <p><input type="checkbox"/> Controlling Person of a trust - settlor</p> <p><input type="checkbox"/> Controlling Person of a trust – trustee</p> <p><input type="checkbox"/> Controlling Person of a trust – protector</p> <p><input type="checkbox"/> Controlling Person of a trust – beneficiary</p> <p><input type="checkbox"/> Controlling Person of a trust – other</p> <p><input type="checkbox"/> Controlling Person of a legal arrangement (non-trust) – settlor-equivalent</p> <p><input type="checkbox"/> Controlling Person of a legal arrangement (non-trust) – trustee-equivalent</p> <p><input type="checkbox"/> Controlling Person of a legal arrangement (non-trust) – protector-equivalent</p> <p><input type="checkbox"/> Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent</p> <p><input type="checkbox"/> Controlling Person of a legal arrangement (non-trust) – other-equivalent</p>	<p>Entity name</p>
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SECTION 5: DECLARATION AND UNDERTAKINGS

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.
 I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.
 I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

<p>Authorised Signature(s)*:</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>	<p>Capacity*:</p>
<p>Print Name(s)*:</p>	<p>Date: (dd/mm/yyyy)*</p>

NORTHERN TRUST GLOBAL FUNDS PLC

APPENDIX III**Terms and conditions for instructions issued to the administrator via email**

Terms and Conditions of Service for instructions issued to the Administrator via email Introduction

If you (hereinafter referred to as the "Investor") wish to send Northern Trust International Fund Administration Services (Ireland) Limited, (the "Administrator"), instructions in respect of the Shares of Northern Trust Global Funds plc (the "Fund") in portable document format ("PDF") or commonly used equivalent scanned form which is transmitted to the Administrator via email, then the terms as set out below will apply to the Investor's account.

IT IS IMPORTANT THAT THE INVESTOR READS THESE TERMS AND CONDITIONS OF SERVICE CAREFULLY.

By completing the appropriate documentation (e.g. original subscription forms, additional subscription forms, transfer/switch requests or redemption forms) and instructing the Administrator in respect of the Shares of the Fund via email, the Investor will have accepted the following Terms and Conditions of Service.

A. Procedure for PDF Instructions

1. The email address to submit PDF instructions is NTAMTAInstructions@ntrs.com. This address is to be solely used for sending PDF instructions via email in respect of the shares in the Fund.
2. The Investor shall ensure that the PDF instruction is signed by properly authorised persons, scanned and attached to a blank email which is addressed to NTAMTAInstructions@ntrs.com.
3. Please ensure that the Investor blank e-mail does not contain any text or non-text items including but not limited to logos.
4. Each blank e-mail sent to the email address specified above may only include one PDF file attachment for all dealing instructions. However, the single PDF file attachment may contain multiple instructions in relation to Shares in more than one Sub-Fund. A blank e-mail with more than one PDF attachment containing dealing instructions, will be rejected by the Administrator.
5. PDF instructions received without the scanned PDF form attached will not be accepted.
6. Upon receipt of an email with the scanned PDF instruction, the Administrator will send the Investor a task number by auto-response.
 - a. The task number acknowledges receipt of the Investor's instruction.
 - b. The task number is not confirmation of placement of the instruction.
 - c. The Administrator must be in receipt of the instruction prior to the relevant dealing deadline as set out in the Fund's Prospectus. The Administrator will not be responsible for any delays in receipt.
 - d. If the Investor does not receive a task number by auto response, it is the Investor's responsibility to contact the Administrator by telephone (details confirmed within the application form) to confirm that the Administrator has received the Investor's instruction.
7. If the Investor sends the Administrator a PDF TA instruction in respect of the shares in the Fund to a mailbox other than specified above, the Administrator will reject the instruction and/or the deal will not be placed. Notification of rejection may not be given prior to the dealing cut off.
8. In cases where the Investor has supplied the Administrator with a "group" email address, the Administrator will have fully discharged its responsibilities where it has sent any communication to this "group" address.
9. The Investor should not send a duplicate instruction by alternative means to the Administrator as this could lead to a duplicate e.g deals being placed in error.
10. The Investor is not obliged to instruct in this manner.

B. General Terms and Conditions of Service :

1. Email is not a secure form of communication and may be subject to interception, interruption, corruption, distortion, non-delivery, loss, may not be confidential, secure or error free and may contain viruses. Using and relying on email involves increased risk of fraud and of miscommunications including those due to a telecommunications system or equipment failure, misdirected communications or illegibility of the instructions or documents and the Investor will bear the risks if the Investor wishes to conduct the Investor's dealings using email.
2. The Administrator is authorised and instructed to accept and execute any instructions in respect of shares in the Fund given by the Investor in PDF form or by email. The Administrator will rely conclusively upon, and neither the Company nor the Administrator shall incur liability in respect of any action taken upon any instruction believed in good faith to be genuine.
3. Neither the Company nor the Administrator will be responsible or liable for the authenticity of instructions received from the Investor or any authorised person and may rely upon any instruction from any such person representing himself to be a duly authorised person reasonably believed by the Administrator to be genuine.
4. Neither the Company nor the Administrator will accept responsibility or liability of any nature whatsoever arising out of or in connection with instructions given by the Investor in PDF form or by email, including without limitation, the Investor's use of an incorrect email address, failure of the Investor's transmission, interception, alteration or corruption of the Investor's email transmission, non-receipt of the Investor's electronic instruction, failure of technical infrastructure, error, omission, interruption, deletion, defect, delay in operation or transmission, computer virus, communication line failure, or any allotment, switch or redemption or other action taken in good faith by the Administrator upon any electronic instruction. In addition, neither the Company nor the Administrator will be liable for any failure to act upon electronic instructions due to equipment failure or for any cause that is beyond the control of the Administrator.