

## **NORTHERN TRUST GLOBAL FUNDS PLC**

An umbrella fund with segregated liability between sub-funds

A company incorporated with limited liability as an open-ended umbrella investment company with variable capital incorporated under the laws of Ireland with registered number 245357 and authorised by the Central Bank as a UCITS pursuant the Regulations

(the "**Company**")

## **ADDITIONAL INFORMATION FOR INVESTORS IN AUSTRIA**

**Information contained herein is selective, containing specific information in relation to the Company. This document (the "Austrian Country Supplement") forms part of and should be read in conjunction with the Prospectus for the Company dated 31 January 2022 as amended from time to time together with any supplement or addendum thereto (together the "Prospectus"). This document is for distribution in Austria only.**

Words and expressions defined in the Prospectus shall, unless the context otherwise requires, have the same meaning when used herein.

Dated: 29 November 2022

## 1 The Company

Northern Trust Global Funds plc is an umbrella fund constituted as an investment company with variable capital under the laws of Ireland with segregated liability between its sub-funds. The Company is authorised in Ireland by the Central Bank of Ireland as an undertaking for collective investment in transferable securities pursuant to the European Communities (Undertakings for Collective Investment in Transferable Securities) Regulations, 2011 (S.I. No. 352 of 2011), as amended, consolidated or substituted from time to time (the "**UCITS Regulations**").

The Company has notified the Austrian Financial Market Authority of its intention to sell shares in its Sub-Funds in the Republic of Austria in accordance with Section 140 para 1 Investment Fund Act 2011 ("**InvFG**") whereby shares in the following sub-funds are admitted to public distribution in Austria:

The U.S. Dollar Fund

The Euro Liquidity Fund

(the "**Sub—Funds**")

### **Facilities and Information Agent**

2 In accordance with Section 141 para 1 InvFG, the Company has appointed UniCredit Bank Austria AG, Global Securities Services, Rothschildplatz 1, 1020 Vienna, Austria as its Facilities and Information agent (the "**Facilities and Information Agent**"). Any Austrian investors may therefore turn to the Facilities and Information Agent and require that any payments made to them from the Company or any payments made by them to the Company be conducted through the Facilities and Information Agent. Austrian Shareholders may also turn to the Facilities and Information Agent to require the redemption of their shares.

## 3. Information

Information documents may be obtained from the Company and inspected at the registered office (George's Court, 54-62 Townsend Street, Dublin 2, Ireland) and the Paying and Information Agent (Global Securities Services, Rothschildplatz 1, 1020 Vienna, Austria), in particular

- 2.1 the current valid version of the Memorandum and the Articles of Association of the Company in English;
- 3.2 the current valid version of the Prospectus in English;
- 3.3 the current valid version of the Key Investor Information Document(s) in English and German; and
- 3.4 the latest published annual and semi-annual report in English and;
- 3.5 details of notices sent to Shareholders;

## 3 Publication of Prices

The sale and purchase prices of shares for the Company are available from the Administrator, the Facilities and Information Agent and at the webpage [www.ntrs.com](http://www.ntrs.com)

#### **4 Distribution**

Shares of the Sub-Fund(s) will be distributed through licensed banks and/or licensed investment firms.

#### **5 Taxation**

The taxation of income for Austrian investors from foreign investment funds under Austrian law follows a complex system. Investors are therefore advised to carefully consider their tax position and contact their personal tax advisors.

Investors should note that the Company has appointed Ernst & Young *Ernst & Young Steuerberatungsgesellschaft m.b.H., Wagramer Straße 19, A-1220 Vienna, Austria* as its fiscal representative in accordance with the Section 188 together with Section 186 para 2 item 2 InvFG.